

**Due By April 25, 2008** 

ID# 110363

07 FS-1

Oflo

## Rhode Island Ethics Commission

## **2007 YEARLY FINANCIAL STATEMENT**

DAVID A SEGAL 335 IVES STREET PROVIDENCE RI 02906ETHICS COMPASSION

ALL QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 2007 THROUGH DECEMBER 31, 2007 UNLESS OTHERWISE SPECIFIED.

PLEASE ANSWER <u>ALL QUESTIONS</u> AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO STATE. ANSWERS SHOULD BE PRINTED OR TYPED, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet.

Note: If you are a state or municipal official or employee that is required to file a Yearly Financial Statement, a failure to file the

	Statement is a violation of the law and may subject you to substantia		
	ly Financial Statement in the mail but believe you did not hold filing, you should contact the Ethics Commission (See Instruction S		
1.	Sequel Oce mandeton of		<u> </u>
••	NAME OF OFFICIAL (LAST)		(INITIAL)
2.	335 Ives St Pro	vidence	02905
	HOME ADDRESS (STREET) (CMY/TO	OWN)	(ZIP CODE)
	MAILING ADDRESS (If different from home address)		
3.	List Public Position(s) you hold and governmental unit:	Stale	of RI
	(PUBLIC POSITION)	(MU	INICIPALITY, STATE OR REGIONAL)
	(PUBLIC POSITION)	(ML	INICIPALITY, STATE OR REGIONAL)
	I was elected on (date). I was appointed on (date).	I was hired on	(date)
	If you no longer hold a public position, state date of termination	or resignation	
4.	List elected office(s) for which you were/are a candidate in either  Company of the property of the company of	calendar year 2007	or 2008 (Read instruction #4)
5.	List the following: NAME OF SPOUSE	NAME(S) OF DEPEN	DENT CHILD OR CHILDREN

	income during calendar year 2007. received. If employed by a state or municipal agency for an amount o	municipal agency, or if self-employed f income in excess of \$250, list the dat sted in #3, above, provides you with	lent child received \$1,000 or more gross in which \$1,000 or more gross income was and services were rendered to a state or se and nature of services rendered. If the an amount of gross income in excess
d	NAME OF FAMILY MEMBER EMPLOYED  Sequence  NAME OF FAMILY MEMBER EMPLOYED	NAME AND ADDRESS OF EMPLOYER OR OSCUPATION OF THE PROPERTY OF	DATES AND NATURE OF SERVICES RENDERED
V	and Sept	City of Privilen	ce Not employed,
	U	· · · · · · · · · · · · · · · · · · ·	\$7,000 from pension of
7.	List the address or legal description or dependent child had a financial	of any real estate, other than your princi interest.	pal residence, in which you, your spouse,
	NAMES	NATURE OF INTEREST	ADDRESS OR DESCRIPTION
	Doe		
8.	List the name of any trust, name an child or children individually received NAME OF TRUST:  NAME OF TRUSTEE AND ADDRESS:	d address of the trustee of any trust, fro d \$1,000 or more gross income. List as	m which you, your spouse, or dependent ssets if known. (Do Not List Amounts.)
	NAME OF FAMILY MEMBER RECEIVING TRUST INCOME:		
9.	ASSETS:  List the name and address of any b	ousiness, profit or non-profit, in which yo tner, trustee, or a management positior	ou, your spouse, or dependent child held
9.	ASSETS:  List the name and address of any b		1.
9.	ASSETS:  List the name and address of any based a position as a director, officer, par	tner, trustee, or a management position  NAME AND ADDRESS OF BUSINES  Por John Daily	n. SS POSITION

10. List the name and address of any interested person, or business entity, that made total gifts or total contributions in excess of \$100 in cash or property during calendar year 2007 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY
MAKING GIFT OR CONTRIBUTION

Nort

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

ud Segil

NAME AND ADDRESS OF BUSINESS

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2007 with a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS NAME OF AGENCY

DATE AND NATURE OF TRANSACTION

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF REGULATING AGENCY

Done

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2008 and before the date you file this statement AND if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS OF BUSINESS **DESCRIPTION OF INTEREST (NOT AMOUNT)** AND DATE ACQUIRED AND/OR DIVESTED NAME OF REGULATING AGENCY HOW REGULATED 15. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2008 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS DESCRIPTION OF INTEREST NAME OF STATE DATE ACQUIRED AND/OR DIVESTED OF BUSINESS OR MUNICIPAL AGENCY (DO NOT INCLUDE AMOUNT) 16. If you, your spouse or dependent child were indebted in an amount in excess of one thousand dollars (\$1,000) to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following: NAME AND ADDRESS OF DEBTOR NAME AND ADDRESS OF LENDER I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2007 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission. SIGNATURE State of Rhode Island County of Subscribed and sworn to before me at

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.

My Commission expires: